

Internal Audit Programme 2014/15 - initial January 2014						
ref	Key Financial - Corporate	2014/15 potential days	2014/15 programme (initial)	IA Risk assessment 2014/15	comment	corp. plan 2013-18 actions and/or corp risks 2013-18 (6 & above)
KF01 C	Cash & Bank	5	y	3	annual Key Financial Audits	13-CP 01 a,b&c
KF02 C	General Ledger	4	y	3	annual Key Financial Audits	13-CP 01 a,b&c
KF03 C	Asset Management	0	p	2	dependant on 2013/14 opinion	13-CP 01 a,b&c
KF04 C	Budgets	0	p	2	dependant on 2013/14 opinion	13-CP 01 a,b&c
Key Financial - Operational						
ref		2014/15 potential days	2014/15 programme (initial)	IA Risk assessment 2014/15	comment	corp. plan 2013-18 actions and/or corp risks 2013-18 (6 & above)
KF05 O	Council Tax	10	y	3	annual Key Financial Audits	13-CP 01 a,b&c; 13-CR 10
KF06 O	Creditors	10	y	3	annual Key Financial Audits	13-CP 01 a,b&c; 13-CP 02 d
KF07 O	Housing Benefits	10	y	3	annual Key Financial Audits	13-CP 01 a,b&c; 13-CP 02 d
KF08 O	Housing Rents	10	y	3	annual Key Financial Audits	13-CP 01 a,b&c; 13-CP 02 d
KF09 O	NNDR	10	y	3	annual Key Financial Audits	13-CP 01 a,b&c
KF10 O	Payroll & HR	10	y	3	annual Key Financial Audits	13-CP 01 a,b&c
KF11 O	Recovery	10	y	3	annual Key Financial Audits	13-CP 01 a,b&c
KF12 O	Housing Repairs	0	p	3	dependant on 2013/14 opinion	13-CP 01 a,b&c; 13-CP 02 d
Corporate Audits - Other						
ref		2014/15 potential days	2014/15 programme (initial)	IA Risk assessment 2014/15	comment	corp. plan 2013-18 actions and/or corp risks 2013-18 (6 & above)
COR13	Corporate Governance & AGS	10	y	3	AGS + corporate governance	13-CP 02 f
COR14	Equality & Diversity	10	y	3	carried forward from 2013/14	13-CP 02 e
COR15	Health & Safety	15	y	3		13-CP 02 e
COR16	Information Management	15	y	3		13-CP 02 a
COR17	Training	10	y	3	carried forward from 2013/14	
Operational Audits - Other						
ref		2014/15 potential days	2014/15 programme (initial)	IA Risk assessment 2014/15	comment	corp. plan 2013-18 actions and/or corp risks 2013-18 (6 & above)
OP18	Building Control Service and Fees	10	y	3		13-CP 03 b
OP19	Car Parking Partnership	10	y	3	dependant on 2013/14 opinion	13-CP 04 b
OP20	Community Health & Leisure including PFI	10	y	3		13-CP 02 e
OP21	Community Safety - including anti social behaviour	10	y	3		13-CP 02 b
OP22	Disabled Facilities Grants	10	y	3		13-CP 01 c
OP23	Economic Development Service	10	y	3		13-CP 04 a,c
OP24	Elections	10	y	3		13-CP 02 f
OP25	House Sales	3	y	4	2013/14 limited assurance - follow up	13-CP 01 b&c; 13-CP 02 d
OP26	Housing Contract Systems	15	y	3		13-CP 01 c
OP27	Housing Rent Deposit Scheme	3	y	4	2013/14 limited assurance - follow up	13-CP 01 b&c; 13-CP 02 d
OP28	Planning - Housing Strategy Local Plan	15	y	4		13-CP 03 a
OP29	Members' Allowances & Expenses	10	y	3		13-CP 01 a,b&c; 13-CP 02 f
OP30	Planning - Development Management (control)	10	y	4		13-CP 03 a,b&d
OP31	Planning - Support & Advice (planning fees)	10	y	3		13-CP 03 a,b&d
OP32	Services for Older People	10	y	3		13-CP 01 b&c; 13-CP 02 d
OP33	Street Services - Waste & Recycling	15	y	4	1st audit since reorganisation	13-CP 01 b&c; 13-CP 02 d
OP34	Street Services - Street Cleaning	5	y	3		13-CP 01 b&c; 13-CP 02 d
OP35	Street Services - Highway Ranger Services	5	y	3	new audit	13-CP 02 d
OP36	Street Services - Grounds Maintenance	0	p	3	depending on 2013/14 SS review	13-CP 01 b&c; 13-CP 02 d
OP37	Street Services - Trade Waste	0	p	3	depending on 2013/14 SS review	13-CP 01 b&c; 13-CP 02 d
OP38	Street Services - Transport & Plant Utilisation & Maintenance	0	p	3	depending on 2013/14 SS review	13-CP 01 b&c; 13-CP 02 d
OP39	Street Services - Income Generating Services (Bulky Household Waste)	0	p	3	depending on 2013/14 SS review	13-CP 01 b&c; 13-CP 02 d
		310				